



0000155132

## BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

BOB STUMP - Chairman  
GARY PIERCE  
BRENDA BURNS  
BOB BURNS  
SUSAN BITTER SMITH

Arizona Corporation Commission

DOCKETED

AUG 01 2014

AZ CORP COM  
DOCKET COM

2014 AUG 1 PM 1 14

ORIGINAL

DOCKETED BY

IN THE MATTER OF:

DOCKET NO. S-20909A-14-0226

ANTHONY RAY STACY (CRD#1772484),

Respondent.

**PROCEDURAL ORDER**  
**(Sets Pre-Hearing Conference)****BY THE COMMISSION:**

On July 1, 2014, the Securities Division ("Division") of the Arizona Corporation Commission ("Commission") filed a Notice of Opportunity for Hearing ("Notice") against Anthony Ray Stacy ("Stacy" or "Respondent"), in which the Division alleged violation of the Arizona Investment Management Act ("IM Act") in connection with the execution of a promissory note or loan.

The Respondent was duly served with a copy of the Notice.

On July 25, 2014, Respondent filed a Request for Hearing pursuant to A.R.S. § 44-3212 and A.A.C. R14-4-306.

Accordingly, a pre-hearing conference should be scheduled.

IT IS THEREFORE ORDERED a **pre-hearing conference** shall be held on **August 20, 2014, at 10:00 a.m.**, at the Commission's offices, 1200 West Washington Street, Hearing Room No. 1, Phoenix, Arizona.

IT IS FURTHER ORDERED that the Ex Parte Rule (A.A.C. R14-3-113-Unauthorized Communications) is in effect and shall remain in effect until the Commission's Decision in this matter is final and non-appealable.

IT IS FURTHER ORDERED that all parties must comply with Rules 31 and 38 of the Rules of the Arizona Supreme Court and A.R.S. § 40-243 with respect to the practice of law and admission *pro hac vice*.

1 IT IS FURTHER ORDERED that withdrawal or representation must be made in compliance  
2 with A.A.C. R14-3-104(E) and Rule 1.16 of the Rules of Professional Conduct (under Rule 42 of the  
3 Rules of the Arizona Supreme Court). Representation before the Commission includes appearances  
4 at all hearings and procedural conferences, as well as all Open Meetings for which the matter is  
5 scheduled for discussion, unless counsel has previously been granted permission to withdraw by the  
6 Administrative Law Judge or the Commission.

7 IT IS FURTHER ORDERED that the Presiding Administrative Law Judge may rescind, alter,  
8 amend, or waive any portion of this Procedural Order either by subsequent Procedural Order or by  
9 ruling at hearing.

10 DATED this 15<sup>th</sup> day of August, 2014.

11  
12   
13 MARK PRENY  
ADMINISTRATIVE LAW JUDGE

14 Copies of the foregoing mailed/delivered  
15 this 15<sup>th</sup> day of August, 2014, to:

16 Anthony Ray Stacy  
17 18899 N. Thompson Peak Pkwy, Suite 100  
Scottsdale, AZ 85255

18 Matthew Neubert, Director  
19 Securities Division  
ARIZONA CORPORATION COMMISSION  
20 1300 West Washington Street  
Phoenix, AZ 85007

21 COASH & COASH, INC.  
22 Court Reporting, Video and Videoconferencing  
1802 North 7<sup>th</sup> Street  
Phoenix, AZ 85006

23  
24 By: 

25 Tammy Velarde  
Assistant to Mark Preny  
26  
27  
28